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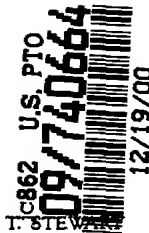
\* NOT ADMITTED IN NEW YORK  
\* REGISTERED PATENT AGENT

**BY EXPRESS MAIL ON**  
December 19, 2000  
(EH 332 180 770 US)

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Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

## RECORD OF TRANSMITTAL

INVENTORS: (1) Niwa TAKASHI  
(2) Kenji KATO  
(3) Nobuyuki KASAMA  
(4) Manabu OUMI  
(5) Yasuyuki MITSUOKA  
(6) Susumu ICHIHARA

TITLE: NEAR-FIELD OPTICAL PROBE AND MANUFACTURING METHOD  
FOR SAME, AND NEAR-FIELD OPTICAL APPARATUS USING THE  
NEAR-FIELD PROBE

DOCKET NO: S004-4168

S I R:

Kindly find enclosed herewith for filing the documents  
checked below. Any fees or charges not covered by the  
accompanying check should be charged to our Deposit Account  
No. 01-0268.

### APPLICABLE ITEMS CHECKED:

- ☒ PATENT APPLICATION (pages 1-43 of specification, 32  
claims (pages) 38-43, and abstract of the  
disclosure (pg.44))
- ☐ DESIGN APPLICATION (specification, claim, declaration and  
power of attorney and photograph(s))
- ☒ FORMAL DRAWINGS ( 17 sheets) (NI A4)
- ☐ INFORMAL DRAWINGS ( sheets)
- ☐ RECORDATION FORM COVER SHEET and ASSIGNMENT  
(to be recorded and returned to the undersigned)

09740664 121900

XX PRIORITY CLAIM - applicant(s) claim the benefit of  
priority of the following application(s):

Japanese Patent Appln. No. 11-361701  
filed December 20, 1999  
Japanese Patent Appln. No. 2000-130826  
filed April 28, 2000  
Japanese Patent Appln. No. 2000-352778  
filed November 20, 2000

XX PRIORITY DOCUMENT(s)

       Enclosed XX Not Enclosed

       VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

XX EXPRESS MAIL CERTIFICATION

       OTHER -

XX FEE

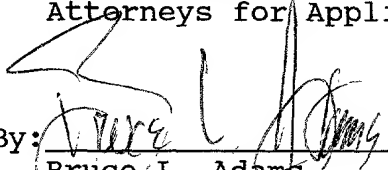
A check is enclosed for the following official fees:

	Large Entity	Small Entity
<u>XX</u> Basic Filing Fee	\$ 710.00	\$
<u>  0  </u> Independent Claims in Excess of 3	\$	\$
<u> 12 </u> Total Claims in Excess of 20	\$ 216.00	\$
<u>      </u> Multiple Claim Fee	\$	\$
<u>      </u> Assignment Recordal Fee	\$	\$
TOTAL	\$ 926.00	\$

Respectfully submitted,

ADAMS & WILKS  
Attorneys for Applicants

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By:   
Bruce L. Adams  
Reg. No. 25,386

Re: New patent application of Niwa TAKASHI et al.

for NEAR-FIELD OPTICAL PROBE AND MANUFACTURING METHOD FOR SAME,  
AND NEAR-FIELD OPTICAL APPARATUS USING THE NEAR-FIELD PROBE

comprising transmittal letter, title, specification (pgs.1-43),  
32 claims (pgs.38-43), abstract of the disclosure (pg.44), 17  
sheets of formal drawings containing thereon Figs. 1-26D, express  
mail certification and check in the amount \$926.00

Attorney's Docket No: S004-4168

**EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10**

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Date of Deposit: December 19, 2000

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Michael Ruas